

[github.com/algorithmshyths/Algo-Quicksheet](https://github.com/algorithmshyths/Algo-Quicksheet)  
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# Algorithm Quicksheet

Classical equations, diagrams and tricks in algorithm

October 8, 2015

ii

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*This book is dedicated to all Software Engineers.*

# Preface

## INTRODUCTION

This quicksheet contains many classical equations and diagrams for algorithm, which will help you quickly recall knowledge and ideas in algorithm.

This quicksheet has three significant advantages:

1. Non-essential knowledge points omitted
2. Compact knowledge representation
3. Quick recall

## HOW TO USE THIS QUICKSHEET

You should not attempt to remember the details of an algorithm. Instead, you should know:

1. What problems this algorithm solves.
2. The benefits of using this algorithm compared to others.
3. The important clues of this algorithm so that you can derive the details of the algorithm from them.

Only dives into the code when you is unable to reconstruct the algorithm from the hits and and the important clues.

At GitHub, June 2015

*github.com/idf*

# Contents

<b>Contents</b> .....	v	<b>6.4 Segment Tree</b> .....	9
<b>Notations</b> .....	viii	6.4.1 Introduction .....	9
<b>1 Time Complexity</b> .....	1	6.4.2 Operations .....	9
1.1 Basic Counts .....	1	<b>7 Balanced Search Tree</b> .....	11
1.2 Solving Recurrence Equations .....	1	7.1 2-3 Search Tree .....	11
1.2.1 Master Theorem .....	1	7.1.1 Insertion .....	11
1.3 Useful Math Equations .....	1	7.1.2 Splitting .....	11
<b>2 Memory Complexity</b> .....	2	7.1.3 Properties .....	11
2.1 Introduction .....	2	7.2 Red-Black Tree .....	12
2.1.1 Tables .....	2	7.2.1 Properties .....	12
2.1.2 Example .....	2	7.2.2 Operations .....	12
<b>3 Basic Data Structures</b> .....	3	7.3 B-Tree .....	13
3.1 Introduction .....	3	7.4 AVL Tree .....	13
3.2 Stack .....	3	<b>8 Trie</b> .....	14
3.2.1 Stack and Recursion .....	3	8.1 Data Structure .....	14
3.2.2 Largest Rectangle .....	3	8.1.1 Basic .....	14
3.3 Map .....	4	8.1.2 Advanced .....	14
3.3.1 Math Relations .....	4	8.1.3 Application .....	15
3.3.2 Operations .....	4	<b>9 Sort</b> .....	16
<b>4 Linked List</b> .....	5	9.1 Introduction .....	16
4.1 Operations .....	5	9.2 Algorithms .....	16
4.1.1 Fundamentals .....	5	9.2.1 Quick Sort .....	16
4.1.2 Basic Operations .....	5	9.2.2 Stability .....	17
4.1.3 Combined Operations .....	5	9.2.3 Applications .....	17
<b>5 Heap</b> .....	6	9.2.4 Considerations .....	17
5.1 Introduction .....	6	9.2.5 Summary .....	17
5.2 Operations .....	6	9.3 Reversion .....	17
5.2.1 Sink .....	6	9.3.1 Calculation .....	18
5.2.2 Swim .....	6	<b>10 Search</b> .....	19
5.2.3 Heapify .....	6	10.1 Binary Search .....	19
5.3 Implementation .....	6	10.2 Looping Root .....	19
5.3.1 General .....	6	<b>11 Array</b> .....	20
5.3.2 Python Heapq .....	6	11.1 Circular Array .....	20
5.4 Event-Driven Algorithm .....	7	11.1.1 Circular max sum .....	20
<b>6 Tree</b> .....	8	11.1.2 Non-adjacent cell .....	20
6.1 Binary Tree .....	8	11.1.3 Binary search .....	20
6.1.1 Introductions .....	8	11.2 Voting Algorithm .....	20
6.2 Binary Search Tree (BST) .....	8	11.2.1 Majority Number .....	20
6.2.1 Operations .....	8	<b>12 Stream</b> .....	22
6.2.2 Range search .....	8	12.1 Sliding Window .....	22
6.3 Interval Search Tree .....	8		

<b>13 Math</b> .....	23	18.2.1 Prefix suffix table .....	31
13.1 Functions .....	23	18.2.2 Searching algorithm .....	32
13.2 Prime Numbers .....	23	18.2.3 Applications .....	32
13.2.1 Sieve of Eratosthenes .....	23	<b>19 Graph</b> .....	33
13.2.2 Factorization .....	24	19.1 Basic .....	33
<b>14 Arithmetic</b> .....	25	19.2 BFS .....	33
14.1 DFS .....	25	19.2.1 BFS with Abstract Level .....	33
14.2 Polish Notation .....	25	19.3 Detect Acyclic .....	33
14.2.1 Evaluate Post-fix Expressions .....	26	19.3.1 Directed Graph .....	33
14.2.2 Convert In-fix to Post-fix .....	26	19.3.2 Undirected Graph .....	33
<b>15 Combinatorics</b> .....	27	19.4 Topological Sorting .....	34
15.1 Basics .....	27	19.4.1 Algorithm .....	34
15.1.1 Considerations .....	27	19.4.2 Applications .....	34
15.1.2 Basic Formula .....	27	19.5 Union-Find .....	34
15.1.3 N objects, K Ceils .....	27	19.5.1 Algorithm .....	34
15.1.4 N objects, K types .....	27	19.5.2 Complexity .....	35
15.1.5 Inclusion–Exclusion Principle .....	27	<b>20 Interval</b> .....	36
15.2 Combinations with Duplicated Objects .....	27	20.1 Introduction .....	36
15.2.1 Basic Solution .....	28	<b>21 Dynamic Programming</b> .....	37
15.2.2 Algebra Solution .....	28	21.1 Introduction .....	37
<b>16 Bit Manipulation</b> .....	29	21.1.1 Common practice .....	37
16.1 Concepts .....	29	21.2 Sequence .....	37
16.1.1 Basics .....	29	21.3 Backpack .....	38
16.1.2 Operations .....	29	21.4 Local and global extremes .....	38
16.2 Single Number .....	29	21.4.1 Long and short stocks .....	38
16.2.1 Appear three times .....	29	21.5 Game theory - multi players .....	38
16.2.2 Two Numbers .....	29	21.5.1 Coin game .....	38
<b>17 Greedy</b> .....	30	<b>22 General</b> .....	39
17.1 Introduction .....	30	22.1 General Tips .....	39
17.1.1 Summarizing properties .....	30	<b>Glossary</b> .....	41
<b>18 String</b> .....	31		
18.1 Palindrome .....	31		
18.1.1 Palindrome anagram .....	31		
18.2 KMP .....	31		

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# Notations

## INTRODUCTION

Algorithm notations.

### GENERAL MATH NOTATION

Symbol	Meaning
$\lfloor x \rfloor$	Floor of $x$ , i.e. round down to nearest integer
$\lceil x \rceil$	Ceiling of $x$ , i.e. round up to nearest integer
floor(key)	the largest key $\leq$ the given key
ceil(key)	the smallest key $\geq$ the given key
$\log x$	The base of logarithm is 2 unless otherwise stated
$a \wedge b$	Logical AND
$a \vee b$	Logical OR
$\neg a$	Logical NOT
$\infty$	Infinity
$\rightarrow$	Tends towards, e.g., $n \rightarrow \infty$
$\propto$	Proportional to; $y = ax$ can be written as $y \propto x$
$ x $	Absolute value
$\ a\ $	$L_2$ distance (Euclidean distance) of a vector
$ \mathcal{S} $	Size (cardinality) of a set
$n!$	Factorial function
$\triangleq$	Defined as
$O(\cdot)$	Big-O: roughly means order of magnitude
$\mathbb{R}$	The real numbers
$0 : n$	Range (Python convention): $0 : n = 0, 1, 2, \dots, n - 1$
$\approx$	Approximately equal to
$\operatorname{argmax}_x f(x)$	Argmax: the value $x$ that maximizes $f$
$\binom{n}{k}$	$n$ choose $k$ , equal to $\frac{n!}{k!(n-k)!}$



# Chapter 1

## Time Complexity

### 1.1 BASIC COUNTS

#### Double for loop

$$\sum_{i=1}^N \sum_{j=i}^N 1 = \binom{N}{2} \sim \frac{1}{2}N^2$$

$$\sum_{i=1}^N \sum_{j=i}^N 1 \sim \int_{x=1}^N \int_{y=x}^N dy dx$$

#### Triple for loop

$$\sum_{i=1}^N \sum_{j=i}^N \sum_{k=1}^N 1 = \binom{N}{3} \sim \frac{1}{6}N^3$$

$$\sum_{i=1}^N \sum_{j=i}^N \sum_{k=1}^N 1 \sim \int_{x=1}^N \int_{y=x}^N \int_{z=y}^N dz dy dx$$

### 1.2 SOLVING RECURRENCE EQUATIONS

Basic recurrence equation solving techniques:

1. Guessing and validation
2. Telescoping
3. Recursion tree
4. Master Theorem

#### 1.2.1 Master Theorem

Recurrence relations:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n), \text{ where } a \geq 1, b > 1$$

Notice that  $b > 1$  rather than  $b \geq 1$ .

#### Case 1

If:

$$f(n) = o(n^{\log_b a})$$

Then:

$$T(n) = \Theta(n^{\log_b a})$$

Notice that in the condition it is  $o$  rather than  $O$ .

#### Case 2

If:

$$f(n) = \Theta(n^{\log_b a} \log^k n)$$

for some constant  $k \geq 0$

Then:

$$T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$$

#### Case 3

If:

$$f(n) = \omega(n^{\log_b a})$$

And with regularity condition:

$$f\left(\frac{n}{b}\right) \leq kf(n)$$

for some constant  $k < 1$  and sufficiently large  $n$

Then:

$$T(n) = \Theta(f(n))$$

Notice that in the condition it is  $\omega$  rather than  $\Omega$ .

### 1.3 USEFUL MATH EQUATIONS

Euler:

$$\frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots + \frac{1}{n} = \ln n$$

# Chapter 2

## Memory Complexity

### 2.1 INTRODUCTION

#### 2.1.1 Tables

The memory usage is based on Java.

Type	Bytes
boolean	1
byte	1
char	2
int	4
float	4
long	8
double	8

Type	Bytes
char[]	2N+24
int[]	4N+24
double[]	8N+24

Type	Bytes
char[][]	2MN
int[][]	4MN
double[][]	8MN

Type	Bytes
Object overhead	16
Reference	8
Padding	8x

Reference includes object reference and inner class reference.

Padding is to make the object memory size of 8's multiple.

#### 2.1.2 Example

The generics is passed as Boolean:

```
public class Box<T> { // 16 (object overhead)
    private int N; // 4 (int)
    private T[] items; // 8 (reference to array)
                    // 8N+24 (array of Boolean references)
                    // 24N (Boolean objects)
                    // 4 (padding to round up to a multiple)
}
```

Notice the multiple levels of references.

# Chapter 3

## Basic Data Structures

### 3.1 INTRODUCTION

Abstract Data Types (ADT):

1. Queue
2. Stack
3. HashMap

Implementation (for both queue and stack):

1. Linked List
2. Resizing Array:
  - a. Doubling: when full (100%).
  - b. Halving: when one-quarter full (100%).

Python Library:

1. `collections.deque` <sup>1</sup>
2. `list`
3. `dict`, `OrderedDict`, `DefaultDict`

Java Library:

1. `java.util.Stack<E>`
2. `java.util.LinkedList<E>`
3. `java.util.HashMap<K, V>`; `java.util.TreeMap<K, V>`

### 3.2 STACK

#### 3.2.1 Stack and Recursion

How a compiler implements a function:

1. Function call: push local environment and return address
2. Return: pop return address and local environment.

Recursive function: function calls itself. It can always be implemented by using an explicit stack to remove recursion.

<sup>1</sup> The naming in collections is awkward: [discussion](#).

#### 3.2.2 Largest Rectangle

Find the largest rectangle in the matrix (histogram). Given  $n$  non-negative integers representing the histogram's bar height where the width of each bar is 1, find the area of largest rectangle in the histogram.

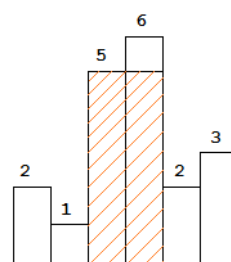


Fig. 3.1: Largest rectangle in histogram

Keep a stack storing the bars in strictly increasing order, then calculate the area by popping out the stack to get the currently lowest bar which determines the height of the rectangle.

Notice:

1. Maintain the non-decreasing stack
2. Calculation of the rectangle width
3. Post-processing in the end

```
def largestRectangleArea(self, height):
    n = len(height)
    gmax = -sys.maxint-1
    stk = [] # store the idx, non-decreasing stack

    for i in xrange(n):
        while stk and height[stk[-1]] > height[i]:
            last = stk.pop()
            if stk: # calculate area when popping
                area = height[last]*(i-(stk[-1]+1))
            else:
                area = height[last]*i
            gmax = max(gmax, area)

        stk.append(i)

    # after processing all heights, process the remaining stack
```

4

```
i = n
...

return gmax
```

## 3.3 MAP

### 3.3.1 Math Relations

**OneToOneMap.** One map, dual entries.

```
class OneToOneMap(object):
    def __init__(self):
        self.m = {} # keep a single map

    def set(self, a, b):
        self.m[a] = b
        self.m[b] = a

    def get(self, a):
        return self.m.get(a)
```

### 3.3.2 Operations

**Sorting by value:**

```
from operators import itemgetter
sorted(hm.items(), key=itemgetter(1), reverse=True)
```

# Chapter 4

## Linked List

### 4.1 OPERATIONS

#### 4.1.1 Fundamentals

Get the *pre* reference:

```
dummy = Node(0)
dummy.next = head
pre = dummy
cur = pre.next
```

#### 4.1.2 Basic Operations

1. Get the length
2. Get the *i*-th object
3. Delete a node
4. Reverse

#### 4.1.3 Combined Operations

In  $O(n)$  without extra space:

1. Determine whether two lists intersect
2. Determine whether the list is palindrome
3. Determine whether the list is acyclic

# Chapter 5

## Heap

### 5.1 INTRODUCTION

Heap-ordered. Binary heap is one of the implementations of Priority Queue (ADT).

### 5.2 OPERATIONS

Assume the root **starts** at  $a[1]$  rather than  $a[0]$ .

Basic operations:

1. sink()/ sift\_down() - recursive
2. swim()/ sift\_up() - recursive
3. build()/ heapify() - bottom-up sink()

#### 5.2.1 Sink

```
def sink(self, idx):
    while 2*idx <= self.N:
        c = 2*idx
        if c+1 <= self.N and self.less(c, c+1):
            c += 1
        if not self.less(idx, c):
            return

    self.swap(idx, c)
    idx = c
```

#### 5.2.2 Swim

```
def swim(self, idx):
    while idx > 1 and self.less(idx/2, idx):
        pi = idx/2
        self.swap(pi, idx)
        idx = pi
```

#### 5.2.3 Heapify

Worst case: Heapifying a **sorted array** is the worst case for heap construction, because the root of each subheap

considered sinks all the way to the bottom. The worst case complexity  $\sim 2N$ .

Building a heap is  $O(N)$  rather than  $O(N \lg N)$ .

Proof:

$$\begin{aligned} \therefore \sum_{i=0}^{+\infty} ix^i &= \frac{x}{(1-x)^2} \\ \therefore \sum_{h=0}^{\lfloor \lg n \rfloor} \left\lceil \frac{n}{2^{h+1}} \right\rceil O(h) &= O\left(n \sum_{h=0}^{\lfloor \lg n \rfloor} \frac{h}{2^h}\right) \\ \therefore \sum_{h=0}^{\lfloor \lg n \rfloor} \left\lceil \frac{n}{2^{h+1}} \right\rceil O(h) &= O(n) \end{aligned}$$

### 5.3 IMPLEMENTATION

#### 5.3.1 General

The self-implemented binary heap's index usually starts at 1 rather than 0.

The array representation of heap is in **level-order**.

A 3-heap is an array representation (using 1-based indexing) of a complete 3-way tree. The children of  $a[k]$  are  $a[3k-1]$ ,  $a[3k]$ , and  $a[3k+1]$ .

The main reason that we can use an array to represent the heap-ordered tree in a binary heap is because the tree is **complete**.

Suppose that we represent a BST containing  $N$  keys using an array, with  $a[0]$  empty, the root at  $a[1]$ . The two children of  $a[k]$  will be at  $a[2k]$  and  $a[2k+1]$ . Then, the length of the array might need to be as large as  $2^N$ .

#### 5.3.2 Python Heapq

Python only has built in min-heap. To use max-heap, you can:

1. Revert the number: 1 becomes -1.
2. Wrap the data into another class and override **comparators**: `__cmp__` or `__lt__`

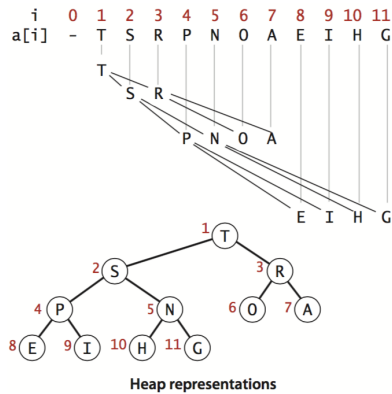


Fig. 5.1: Heap representation

The following code presents the wrapping method:

```
class Value(object):
    def __init__(self, val):
        self.val = val
        self.deleted = False # lazy delete

    def __cmp__(self, other):
        # Reverse order by height to get max-heap
        assert isinstance(other, Value)
        return other.val - self.val
```

Normally the deletion by value in Python is  $O(n)$ , to achieve  $O(\lg n)$  we can use **lazy deletion**. Before take the top of the heap, we do the following:

```
while heap and heap[0].deleted:
    heapq.heappop(heap)
```

## 5.4 EVENT-DRIVEN ALGORITHM

The core philosophy of event-driven algorithm:

1. The definition of **event**; the event are sorted by time of appearance.
2. The definition of **heap meaning**
3. The definition of the **transition functions** among events impacting the heap.

**Overlapping Interval.** Given a list of number intervals, find max number of overlapping intervals.

Every new start of an interval is an **event**. Put the ending time into heap, and pop the ending time earlier than the new start time from heap.

```
def max_overlapping(intervals):
```

```
maxa = 0
```

```
intervals.sort(key=operator.attrgetter("start"))
```

```
end_heap = []
```

```
for itvl in intervals:
```

```
    heapq.heappush(end_heap, itvl.end)
```

```
    while end_heap and end_heap[0] <= itvl.start:
```

```
        heapq.heappop(end_heap)
```

```
    maxa = max(maxa, len(end_heap))
```

```
return maxa
```

# Chapter 6 Tree

## 6.1 BINARY TREE

### 6.1.1 Introductions

**Get parent ref.** To get a parent reference (implicitly), return the current recursion function to its parent to maintain the path. Sample code:

```
Node deleteMin(Node x) {  
    if (x.left == null) return x.right;  
    x.left = deleteMin(x.left);  
    x.count = 1+size(x.left)+size(x.right);  
    return x;  
}
```

**Search.** To search a node in binary tree (not necessarily BST), use dfs:

```
def dfs(self, root, t, path, found):  
    if not root or found[0]: # post-call check  
        return  
  
    path.append(root)  
    if root == t:  
        found[0] = True  
  
    self.dfs(root.left, t, path, found)  
    self.dfs(root.right, t, path, found)  
    if not found[0]:  
        path.pop() # 1 pop() corresponds to 1 append()
```

The 'found' is a wrapper for boolean to keep it referenced by all calling stack.

**Lowest common ancestor.** In BST, the searching is straightforward. In normal binary tree, construct the path from root to *node*<sub>1</sub> and *node*<sub>2</sub> respectively, and **diff** the two paths.

**Find all paths.** Find all paths from root to leafs. For every currently visiting node, add itself to path; search left, search right and pop itself. Record current result when reaching the leaf.

```
def dfs_path(self, cur, path, ret):  
    if not cur:  
        return  
  
    path.append(cur)
```

```
if not cur.left and not cur.right:  
    ret.append("->".join(map(lambda x: str(x.val), path)))  
  
self.dfs_path(cur.left, path, ret)  
self.dfs_path(cur.right, path, ret)  
path.pop()
```

## 6.2 BINARY SEARCH TREE (BST)

**Array and BST.** Given either the **preorder** or **postorder** (but not **inorder**) traversal of a BST containing N distinct keys, it is possible to reconstruct the shape of the BST.

### 6.2.1 Operations

**Rank.**

### 6.2.2 Range search

```
int size(Key lo, Key hi) {  
    if (contains(hi)) return rank(hi)-rank(lo)+1;  
    else return rank(hi)-rank(lo);  
}
```

**1-d range search**

## 6.3 INTERVAL SEARCH TREE

TODO

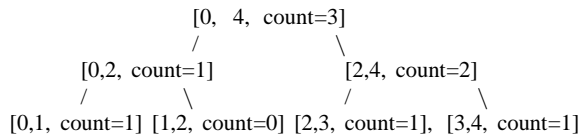


## 6.4 SEGMENT TREE

### 6.4.1 Introduction

The structure of **Segment Tree** is a binary tree which each node has two attributes start and end denote an segment/interval. Notice that by practice, the interval is normally  $[start, end)$  but sometimes it can be  $[start, end]$ , which depends on the question definition.

Structure:



Variants:

1. Sum Segment Tree.
2. Min/Max Segment Tree.
3. Count Segment Tree.

For a **Maximum Segment Tree**, which each node has an extra value max to store the maximum value in this node's interval.

### 6.4.2 Operations

Components in Segment Tree:

1. Build
2. Query
3. Modify

Notice:

1. Only build need to change the start and end recursively.
2. Pre-check is preferred in recursive calls.

Code:

```

DEFAULT = 0
f = lambda x, y: x+y

class Node(object):
    def __init__(self, start, end, m):
        self.start, self.end, self.m = start, end, m
        self.left, self.right = None, None

class SegmentTree(object):
    def __init__(self, A):
        self.A = A
        self.root = self.build_tree(0, len(self.A))

```

```

def build_tree(self, s, e):
    """
    segment: [s, e)
    """
    if s >= e:
        return None

    if s+1 == e:
        return Node(s, e, self.A[s])

    left = self.build_tree(s, (s+e)/2)
    right = self.build_tree((s+e)/2, e)
    val = DEFAULT
    if left: val = f(val, left.m)
    if right: val = f(val, right.m)
    root = Node(s, e, val)
    root.left = left
    root.right = right

    return root

def query(self, root, s, e):
    """
    :type root: Node
    """
    if not root:
        return DEFAULT

    if s <= root.start and e >= root.end:
        return root.m

    if s >= root.end or e <= root.start:
        return DEFAULT

    l = self.query(root.left, s, e)
    r = self.query(root.right, s, e)
    return f(l, r)

def modify(self, root, idx, val):
    """
    :type root: Node
    """
    if not root or idx >= root.end or idx < root.start:
        return

    if idx == root.start and idx == root.end-1:
        root.m = val
        self.A[idx] = val
        return

    self.modify(root.left, idx, val)
    self.modify(root.right, idx, val)
    val = DEFAULT
    if root.left: val = f(val, root.left.m)

```

10

```
if root.right: val = f(val, root.right.m)
root.m = val
```

# Chapter 7

## Balanced Search Tree

### 7.1 2-3 SEARCH TREE

#### 7.1.1 Insertion

Insertion into a 3-node at bottom:

1. Add new key to the 3-node to create a temporary 4-node.
2. Move middle key of the 4-node into the parent (including root's parent).
3. Split the modified 4-node.
4. Repeat recursively up the trees as necessary.

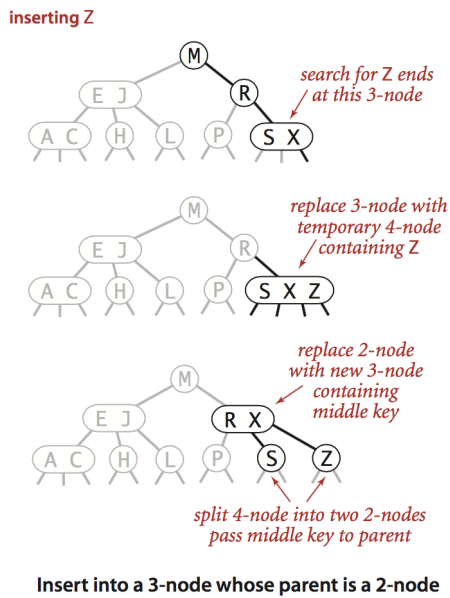


Fig. 7.1: Insertion 1

#### 7.1.2 Splitting

Summary of splitting the tree.

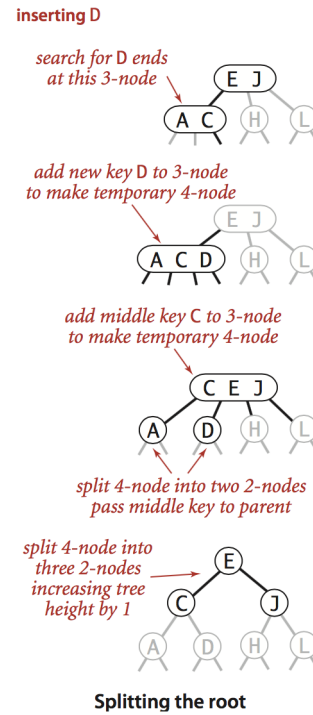


Fig. 7.2: insert 2

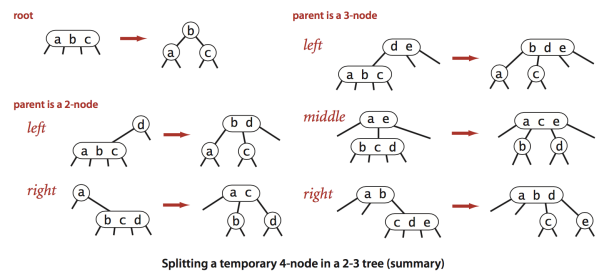


Fig. 7.3: Splitting temporary 4-node summary

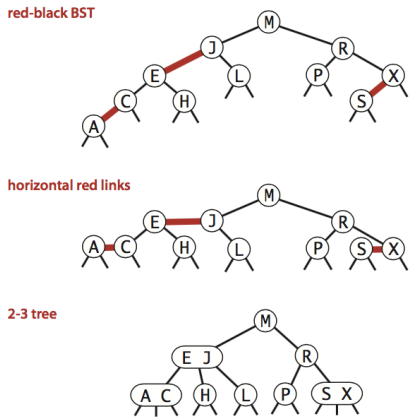
#### 7.1.3 Properties

When inserting a new key into a 2-3 tree, under which one of the following scenarios must the height of the 2-3 tree increase by one? When every node on the search path from the root is a 3-node

## 7.2 RED-BLACK TREE

### 7.2.1 Properties

Red-black tree is an implementation of 2-3 tree using **leaning-left red link**. The height of the RB-tree is at most



1-1 correspondence between red-black BSTs and 2-3 trees

Fig. 7.4: RB-tree and 2-3 tree

$2 \lg N$  where alternating red and black links.

**Perfect black balance.** Every path from root to null link has the same number of black links.

### 7.2.2 Operations

**Elementary operations:**

1. Left rotation: orient a (temporarily) right-leaning red link to lean left.
2. Right rotation: orient a (temporarily) left-leaning red link to lean right.
3. Color flip: Recolor to split a (temporary) 4-node.

**Insertion.** When doing insertion, from the child's perspective, need to have the information of current leaning direction and parent's color. Or from the parent's perspective - need to have the information of children's and grandchildren's color and directions.

For every new insertion, the node is always attached with red links.

The following code is the simplest version of RB-tree insertion:

```
Node put(Node h, Key key, Value val) {
```

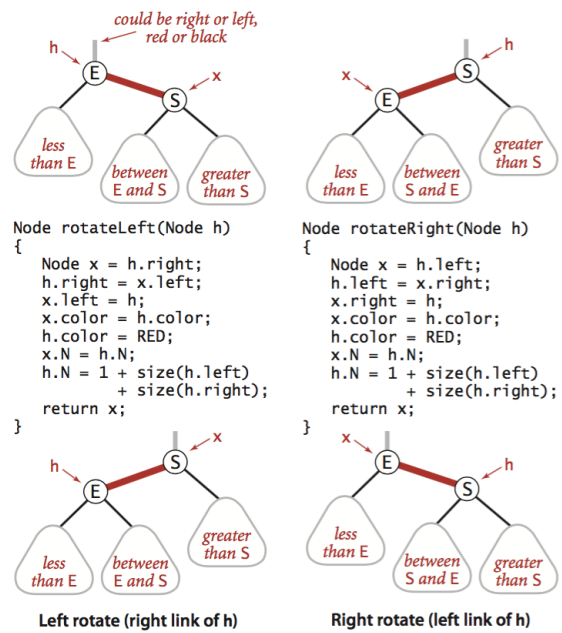
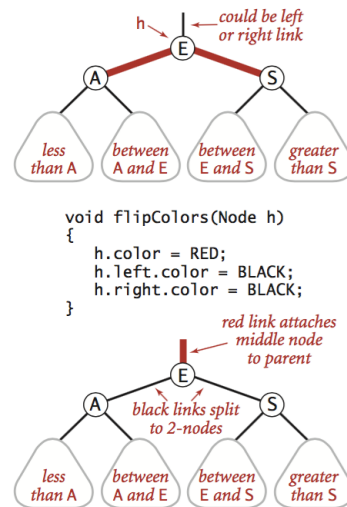


Fig. 7.5: Rotate left/right



Flipping colors to split a 4-node

Fig. 7.6: Flip colors

```

if (h == null) // std insert, with red link to parent.
    return new Node(key, val, 1, RED);
int cmp = key.compareTo(h.key);
if (cmp < 0) h.left = put(h.left, key, val);
else if (cmp > 0) h.right = put(h.right, key, val);
else h.val = val; // pass
if (isRed(h.right) && !isRed(h.left)) h = rotateLeft(h);
if (isRed(h.left) && isRed(h.left.left)) h = rotateRight(h);
if (isRed(h.left) && isRed(h.right)) flipColors(h);
h.N = 1+size(h.left)+size(h.right);
return h;
}

```

Rotate left, rotate right, then flip colors.

**Deletion.** Deletion is more complicated.

### 7.3 B-TREE

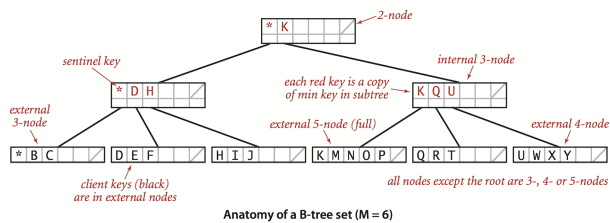


Fig. 7.7: B-Tree

### 7.4 AVL TREE

TODO

# Chapter 8

## Trie

### 8.1 DATA STRUCTURE

#### 8.1.1 Basic

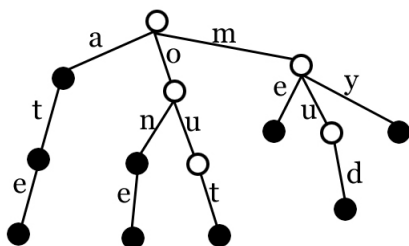


Fig. 8.1: Trie

Notice:

1. Children are stored in HashMap rather than ArrayList.
2. self.word stores the word and indicates whether a word ends at the current node.

Code:

```
class TrieNode(object):
    def __init__(self, char):
        self.char = char
        self.word = None
        self.children = {} # map from char to TrieNode

class Trie(object):
    def __init__(self):
        self.root = TrieNode(None)

    def add(self, word):
        word = word.lower()
        cur = self.root
        for c in word:
            if c not in cur.children:
                cur.children[c] = TrieNode(c)
            cur = cur.children[c]
        cur.word = word
```

#### 8.1.2 Advanced

Implicit storage of word in TrieNode:

1. Implicitly stores the current word.
2. Implicitly stores the current char.
3. When insert new word, do not override the existing TrieNode. A flag to indicate whether there is a word ending here.

Code:

```
class TrieNode:
    def __init__(self):
        self.ended = False
        self.children = {}

class Trie:
    def __init__(self):
        self.root = TrieNode()

    def insert(self, word):
        cur = self.root
        for w in word:
            if w not in cur.children: # not override
                cur.children[w] = TrieNode()
            cur = cur.children[w]

        cur.ended = True

    def search(self, word):
        cur = self.root
        for w in word:
            if w in cur.children:
                cur = cur.children[w]
            else:
                return False

        if not cur.ended: # not ended here
            return False

        return True

    def startsWith(self, prefix):
        cur = self.root
        for w in prefix:
```

```
    if w in cur.children:  
        cur = cur.children[w]  
    else:  
        return False  
  
return True
```

### 8.1.3 Application

1. Word search in matrix.
2. Word look up in dictionary.

# Chapter 9

## Sort

### 9.1 INTRODUCTION

List of general algorithms:

1. Selection sort: invariant
  - a. Elements to the left of  $i$  (including  $i$ ) are fixed and in ascending order (fixed and sorted).
  - b. No element to the right of  $i$  is smaller than any entry to the left of  $i$  ( $A[i] \leq \min(A[i+1 : n])$ ).
2. Insertion sort: invariant
  - a. Elements to the left of  $i$  (including  $i$ ) are in ascending order (sorted).
  - b. Elements to the right of  $i$  have not yet been seen.
3. Shell sort: h-sort using insertion sort.
4. Quick sort: invariant
  - a.  $|A_p| \leq \dots |..unseen..| \geq \dots$  maintain the 3 subarrays.
5. Heap sort: compared to quick sort it is guaranteed  $O(N \lg N)$ , compared to merge sort it is  $O(1)$  extra space.

### 9.2 ALGORITHMS

#### 9.2.1 Quick Sort

##### 9.2.1.1 Normal pivoting

The key part of quick sort is pivoting:

```
def pivot(self, A, i, j):
    """
    pivoting algorithm:
    p | closed set | open set |
    | closed set p | open set |
    """
    p = i
    closed = p
    for ptr in xrange(i, j):
        if A[ptr] < A[p]:
```

```
        closed += 1
        A[ptr], A[closed] = A[closed], A[ptr]
```

```
    A[closed], A[p] = A[p], A[closed]
    return closed
```

Notice that this implementation goes  $O(N^2)$  for arrays with all duplicates.

**Problem with duplicate keys:** it is important to stop scan at duplicate keys (counter-intuitive); otherwise quick sort will go  $O(N^2)$  for the array with all duplicate items, because the algorithm will put all items equal to the  $A[p]$  on a **single side**.

Example: quadratic time to sort random arrays of 0s and 1s.

##### 9.2.1.2 Stop-at-equal pivoting

Alternative pivoting implementation with optimization for duplicated keys:

```
def pivot_optimized(self, A, lo, hi):
    """
    Fix the pivot as the 1st element
    Scan from left to right and right to left simultaneously
    Avoid the case that the algo goes  $O(N^2)$  with duplicated keys
    """
    p = lo
    i = lo
    j = hi
    while True:
        while True:
            i += 1
            if i >= hi or A[i] >= A[lo]:
                break
        while True:
            j -= 1
            if j < lo or A[j] <= A[lo]:
                break
        if i >= j:
            break
    A[i], A[j] = A[j], A[i]
    A[lo], A[j] = A[j], A[lo]
```



```
return j
```

### 9.2.1.3 3-way pivoting

3-way pivoting: pivot the array into 3 subarrays:

$$|.. \leq ..|.. = ..|..unseen..|.. \geq ..|$$

```
def pivot_3way(self, A, lo, hi):
```

```
    lt = lo-1 # pointing to end of array LT
    gt = hi # pointing to the end of array GT (reversed)
```

```
    v = A[lo]
```

```
    i = lo # scanning pointer
```

```
    while i < gt:
```

```
        if A[i] < v:
```

```
            lt += 1
```

```
            A[lt], A[i] = A[i], A[lt]
```

```
            i += 1
```

```
        elif A[i] > v:
```

```
            gt -= 1
```

```
            A[gt], A[i] = A[i], A[gt]
```

```
        else:
```

```
            i += 1
```

```
    return lt+1, gt
```

## 9.2.2 Stability

Definition: a stable sort preserves the **relative order of items with equal keys** (scenario: sorted by time then sorted by location).

Algorithms:

1. Stable

- a. Merge sort
- b. Insertion sort

2. Unstable

- a. Selection sort
- b. Shell sort
- c. Quick sort
- d. Heap sort

**Long-distance swap** operation is the key to find the unstable case during sorting.

## 9.2.3 Applications

1. Sort

sorted by time	sorted by location (not stable)	sorted by location (stable)
Chicago 09:00:00	Chicago 09:25:52	Chicago 09:00:00
Phoenix 09:00:03	Chicago 09:03:13	Chicago 09:00:59
Houston 09:00:13	Chicago 09:21:05	Chicago 09:03:13
Chicago 09:00:59	Chicago 09:19:46	Chicago 09:19:32
Houston 09:01:10	Chicago 09:19:32	Chicago 09:19:46
Chicago 09:03:13	Chicago 09:00:00	Chicago 09:21:05
Seattle 09:10:11	Chicago 09:35:21	Chicago 09:25:52
Seattle 09:10:25	Chicago 09:00:59	Chicago 09:35:21
Phoenix 09:14:25	Houston 09:01:10	Houston 09:00:13
Chicago 09:19:32	Houston 09:00:13	Houston 09:01:10
Chicago 09:19:46	Phoenix 09:37:44	Phoenix 09:00:03
Chicago 09:21:05	Phoenix 09:00:03	Phoenix 09:14:25
Seattle 09:22:43	Phoenix 09:14:25	Phoenix 09:37:44
Seattle 09:22:54	Seattle 09:10:25	Seattle 09:10:11
Chicago 09:25:52	Seattle 09:36:14	Seattle 09:10:25
Chicago 09:35:21	Seattle 09:22:43	Seattle 09:22:43
Seattle 09:36:14	Seattle 09:10:11	Seattle 09:22:54
Phoenix 09:37:44	Seattle 09:22:54	Seattle 09:36:14

Annotations in the original image: Red arrows point from the 'sorted by location (not stable)' column to the 'sorted by location (stable)' column. A red arrow labeled 'no longer sorted by time' points to the row with Chicago 09:21:05 in the 'not stable' column and Chicago 09:03:13 in the 'stable' column. Another red arrow labeled 'still sorted by time' points to the row with Chicago 09:25:52 in the 'not stable' column and Chicago 09:25:52 in the 'stable' column.

Fig. 9.1: Stable sort vs. unstable sort

2. Partial quick sort (selection), k-th largest elements
3. Binary search
4. Find duplicates
5. Graham scan
6. Data compression

## 9.2.4 Considerations

1. Stable?
2. Distinct keys?
3. Need guaranteed performance?
4. Linked list or arrays?
5. Caching system? (reference to neighboring cells in the array?)
6. Usually randomly ordered array? (or partially sorted?)
7. Parallel?
8. Deterministic?
9. Multiple key types?

$O(N \lg N)$  is the lower bound of comparison-based sorting; but for other contexts, we may not need  $O(N \lg N)$ :

1. Partially-ordered arrays: insertion sort to achieve  $O(N)$ .  
**Number of inversions:** 1 inversion = 1 pair of keys that are out of order.
2. Duplicate keys
3. Digital properties of keys: radix sort to achieve  $O(N)$ .

## 9.2.5 Summary

## 9.3 REVERSION

If  $a_i > a_j$  but  $i < j$ , then this is considered as 1 reversion.

	inplace?	stable?	worst	average	best	remarks
selection	x		$N^2/2$	$N^2/2$	$N^2/2$	N exchanges
insertion	x	x	$N^2/2$	$N^2/4$	N	use for small N or partially ordered
shell	x		?	?	N	tight code, subquadratic
quick	x		$N^2/2$	$2 N \ln N$	$N \lg N$	$N \log N$ probabilistic guarantee fastest in practice
3-way quick	x		$N^2/2$	$2 N \ln N$	N	improves quicksort in presence of duplicate keys
merge		x	$N \lg N$	$N \lg N$	$N \lg N$	$N \log N$ guarantee, stable
heap	x		$2 N \lg N$	$2 N \lg N$	$N \lg N$	$N \log N$ guarantee, in-place

Fig. 9.2: Sort summary

```

a1 = a[:n1]
a2 = a[n1:]

count1 = merge_sort(a1)
count2 = merge_sort(a2)
count = count1+count2+merge(a1, n1, a2, n2, a, n)

return count

```

### 9.3.1 Calculation

MergeSort to calculate the #reverse-ordered paris. The only difference from a normal merge sort is that - when pushing the 2nd half of the array to the place, you calculate the reversion generated by the element  $A_2[i_2]$  compared to  $A_1[i_1 :]$ .

```

def merge(A1, n1, A2, n2, A, n):
    i = i1 = i2 = 0
    count = 0
    while i < n:
        if i1 == n1:
            for i2 in xrange(i2, n2):
                A[i] = A2[i2]
                i += 1
        elif i2 == n2:
            for i1 in xrange(i1, n1):
                A[i] = A1[i1]
                i += 1
        else:
            # use array diagram to illustrate
            if A1[i1] > A2[i2]: # push the A2 to A
                A[i] = A2[i2]
                # number of reverse-ordered pairs
                count += n1 - i1
                i += 1
                i2 += 1
            else:
                A[i] = A1[i1]
                i += 1
                i1 += 1

    return count

def merge_sort(a):
    n = len(a)
    if n == 1:
        return 0
    n1 = n/2
    n2 = n - n1

```

# Chapter 10

## Search

### 10.1 BINARY SEARCH

Variants:

1. bisect\_left
2. bisect\_right
3. get the idx equal or just lower
4. get the idx equal or just higher

Binary search, get the idx equal or just lower, which is a very standard binary search:

```
def bisect(self, A, t):
    lo = 0
    hi = len(A)
    while lo < hi:
        mid = (lo+hi)/2
        if A[mid] == t:
            return mid
        elif A[mid] < t:
            lo = mid+1
        else:
            hi = mid
    return lo-1
```

### 10.2 LOOPING ROOT

Iterate the list and make the current element as the root, evaluate the left part and the right part and combine the results (i.e. looping + divide & conquer).

# Chapter 11

## Array

### 11.1 CIRCULAR ARRAY

Common patterns for solving problems with circular arrays.

Normally, we should solve the linear problem and circular problem differently.

#### 11.1.1 Circular max sum

Linear problem can be solved linear with simple algorithm, but the circular sum should use dp.

1. Construct left max sum for max sum over the  $[0..i]$  (**forward** starting from the left side).  
Construct right max sum for max sum over the indexes  $[i..n-1]$  (**backward** starting from the right side). Notice that the max sum index ends AT or BEFORE  $i$ .
2. The  $maxSum = maxSum[i..n-1] + maxSum[0..i]$

#### 11.1.2 Non-adjacent cell

To solve circular non-adjacent array problem in linear way, we should consider 2 cases:

1. Not consider the  $A[1]$
2. Not consider the  $A[-1]$

#### 11.1.3 Binary search

Searching for an element in a circular sorted array. Half of the array is sorted while the other half is not.

1. If  $A[0] < A[mid]$ , then all values in the first half of the array are sorted.
2. If  $A[mid] < A[-1]$ , then all values in the second half of the array are sorted.
3. Then decide whether to got the **sorted half** or the **unsorted half**.

### 11.2 VOTING ALGORITHM

#### 11.2.1 Majority Number

##### 11.2.1.1 $\frac{1}{2}$ of the Size

Given an array of integers, the majority number is the number that occurs more than half of the size of the array.

Algorithm: Majority Vote Algorithm. Maintain a counter to count how many times the majority number appear more than any other elements before index  $i$  and after re-initialization. Re-initialization happens when the counter drops to 0.

Proof: assuming there is a majority number  $x$ , if at the index  $i$ , the current count is  $j$  and the current counter does not capture the majority number, there are less than  $\frac{i-j}{2} x$ , thus there are more than  $\frac{n-i+j}{2} x$  after the index  $i$ . The  $j x$  beats against the counter and  $\frac{n-i-j}{2} x$  will make it counted by counter.

If the counter captures the majority number, two cases will happen. The one is that the counter continue to capture the majority number till the end; then the counter will captures the correct majority number. The other case is that the majority number counter is beaten by other numbers, which will in turn fall back to the case that the counter does not capture the majority number.

This algorithm needs to re-check the current number being counted is indeed the majority number.

##### 11.2.1.2 $\frac{1}{3}$ of the Size

Given an array of integers, the majority number is the number that occurs more than  $\frac{1}{3}$  of the size of the array. This question can be generalized to be solved by  $\frac{1}{k}$  case.

##### 11.2.1.3 $\frac{1}{k}$ of the Size

Given an array of integers and a number  $k$ , the majority number is the number that occurs more than  $\frac{1}{k}$  of the size of the array.

**class Solution:**

```
def majorityNumber(self, nums, k):
    """
    Since majority elements appears more
    than ceil(n/k) times, there are at
    most 2 majority number
    """
    cnt = defaultdict(int)
    for num in nums:
        if num in cnt:
            cnt[num] += 1
        else:
            if len(cnt) < k-1:
                cnt[num] += 1
            else:
                for key in cnt.keys():
                    cnt[key] -= 1
                    if cnt[key] == 0:
                        del cnt[key]

    for key in cnt.keys():
        if (len(filter(lambda x: x == key, nums))
            > len(nums)/k):
            return key

    raise Exception
```

## Chapter 12

### Stream

#### 12.1 SLIDING WINDOW

**Sliding Window Maximum.** Given an array *nums*, Find the list of maximum in the sliding window of size *k* which is moving from the very left of the array to the very right.  
→ double-ended queue.

Invariant: the queue is storing the non-decreasing-ordered elements of current window.

**Sliding Window Median.** Find the list of median in the sliding window. → Dual heap with lazy deletion.

# Chapter 13

## Math

### 13.1 FUNCTIONS

**Equals.** Requirements for equals

1. Reflexive
2. Symmetric
3. Transitive
4. Non-null

**Compare.** Requirements for compares (total order):

1. Antisymmetry
2. Transitivity
3. Totality

### 13.2 PRIME NUMBERS

#### 13.2.1 Sieve of Eratosthenes

##### 13.2.1.1 Basics

To find all the prime numbers less than or equal to a given integer  $n$  by Eratosthenes' method:

1. Create a list of consecutive integers from 2 through  $n$ : (2, 3, 4, ...,  $n$ ).
2. Initially, let  $p$  equal 2, the first prime number.
3. Starting from  $p$ , enumerate its multiples by counting to  $n$  in increments of  $p$ , and mark them in the list (these will be  $2p$ ,  $3p$ ,  $4p$ , ... ; the  $p$  itself should not be marked).
4. Find the first number greater than  $p$  in the list that is not marked. If there was no such number, stop. Otherwise, let  $p$  now equal this new number (which is the next prime), and repeat from step 3.

When the algorithm terminates, the numbers remaining not marked in the list are all the primes below  $n$ .

##### 13.2.1.2 Refinements

The main idea here is that every value for  $p$  is prime, because we have already marked all the multiples of the

numbers less than  $p$ . Note that some of the numbers being marked may have already been marked earlier (e.g., 15 will be marked both for 3 and 5).

As a refinement, it is sufficient to mark the numbers in step 3 starting from  $p^2$ , because all the smaller multiples of  $p$  will have already been marked at that point by the previous smaller prime factor other than  $p$ . From  $p^2$ ,  $p$  becomes the smaller prime factor of a composite number. This means that the algorithm is allowed to terminate in step 4 when  $p^2$  is greater than  $n$ .

Another refinement is to initially list odd numbers only, (3, 5, ...,  $n$ ), and count in increments of  $2p$  in step 3, thus marking only odd multiples of  $p$ . This actually appears in the original algorithm. This can be generalized with wheel factorization, forming the initial list only from numbers coprime with the first few primes and not just from odds (i.e., numbers coprime with 2), and counting in the correspondingly adjusted increments so that only such multiples of  $p$  are generated that are coprime with those small primes, in the first place.

To summarize, the refinements include:

1. Starting from  $p^2$ .
2. Preprocessing even numbers and then only process odd numbers; thus the increment becomes  $2p$ .

##### 13.2.1.3 code

```
def countPrimes(n):
    """
    Find prime using Sieve's algorithm
    :type n: int
    :rtype: int
    """
    if n < 3:
        return 0

    is_prime = [True for _ in xrange(n)]
    is_prime[0], is_prime[1] = False, False
    for i in xrange(2, int(math.sqrt(n))+1):
        if is_prime[i]:
            for j in xrange(i*i, n, i):
                is_prime[j] = False

    return is_prime.count(True)
```

## 13.2.2 Factorization

Backtracking:

```
def dfs(self, cur, ret):
    """
    16

    get factors of cur[-1]
    [16]
    [2, 8]
    [2, 2, 4]
    [2, 2, 2, 2]

    [4, 4]
    """
    if len(cur) > 1:
        ret.append(list(cur))

    n = cur.pop()
    start = cur[-1] if cur else 2
    for i in xrange(start, int(sqrt(n))+1):
        if n%i == 0:
            cur.append(i)
            cur.append(n/i)
            self.dfs(cur, ret)
            cur.pop()
```

### 13.2.2.1 Time Complexity

$O(2^n)$  where  $n$  is the number of prime factors. Choose  $i$  prime factors to combine then, and keep the rest uncombined

$$\sum_i \binom{n}{i}$$

TODO



# Chapter 14

## Arithmetic

### 14.1 DFS

**Insert operators.** Given a string that contains only digits 0-9 and a target value, return all possibilities to add binary operators (not unary) +, -, or \* between the digits so they evaluate to the target value.

Example:

"123", 6 → ["1 + 2 + 3", "1 \* 2 \* 3"]  
"232", 8 → ["2 \* 3 + 2", "2 + 3 \* 2"]

Clues:

1. DFS
2. Special handling for multiplication - caching
3. Detect invalid number with leading 0's

Code:

```
def addOperators(self, num, target):
    ret = []
    self.dfs(num, target, 0, "", 0, 0, ret)
    return ret

def dfs(self, num, target, pos,
        cur_str, cur_val,
        mul, ret
        ):
    if pos >= len(num):
        if cur_val == target:
            ret.append(cur_str)
    else:
        for i in xrange(pos, len(num)):
            if i != pos and num[pos] == '0':
                continue
            nxt_val = int(num[pos:i+1])

            if not cur_str:
                self.dfs(num, target, i+1,
                        "%d"%nxt_val, nxt_val,
                        nxt_val, ret)
            else:
                self.dfs(num, target, i+1,
                        cur_str+"+%d"%nxt_val, cur_val+nxt_val,
                        nxt_val, ret)
                self.dfs(num, target, i+1,
```

```
                cur_str+"-%d"%nxt_val, cur_val-nxt_val,
                -nxt_val, ret)
            self.dfs(num, target, i+1,
                cur_str+"*%d"%nxt_val, cur_val-mul+mul*nxt_val,
                mul*nxt_val, ret)
```

**Insert parenthesis.** Given a string of numbers and operators, return all possible results from computing all the different possible ways to group numbers and operators. The valid operators are +, - and \*.

Examples:

$(2 * (3 - (4 * 5))) = -34$   
 $((2 * 3) - (4 * 5)) = -14$   
 $((2 * (3 - 4)) * 5) = -10$   
 $(2 * ((3 - 4) * 5)) = -10$   
 $((((2 * 3) - 4) * 5) = 10$

Clues: Iterate the operators, divide and conquer - left parts and right parts and then combine result.

Code:

```
def dfs_eval(self, nums, ops):
    ret = []
    if not ops:
        assert len(nums) == 1
        return nums

    for i, op in enumerate(ops):
        left_vals = self.dfs_eval(nums[:i+1], ops[:i])
        right_vals = self.dfs_eval(nums[i+1:], ops[i+1:])
        for l in left_vals:
            for r in right_vals:
                ret.append(self._eval(l, r, op))

    return ret
```

### 14.2 POLISH NOTATION

Polish Notation is in-fix while Reverse Polish Notation is post-fix.

### **14.2.1 Evaluate Post-fix Expressions**

Straightforward: Use a stack to store the number. Iterate the input, push stack when hit numbers, pop stack when hit operators.

### **14.2.2 Convert In-fix to Post-fix**

TODO

# Chapter 15

## Combinatorics

### 15.1 BASICS

#### 15.1.1 Considerations

1. Does **order** matter?
2. Are the objects **repeatable**?
3. Are the objects partially **deduplicated**?

If order does not matter, you can pre-set the order.

#### 15.1.2 Basic Formula

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

$$\binom{n}{k} = \binom{n}{n-k}$$

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$

#### 15.1.3 N objects, K Ceils

$$x_1 + x_2 + x_3 = 10$$

is equivalent to

$$*****|**|***$$

, notice that \* are duplicated.  
then the formula is:

$$\binom{n+r}{r}$$

,where  $r = k - 1$ .  
The meaning is to choose  $r$  objects from  $n + r$  objects to become the |.

#### 15.1.4 N objects, K types

What is the number of permutation of  $N$  objects with  $K$  different types:

$$ret = \frac{A_N^N}{\prod_{i=1}^K A_{sz(i)}^{sz(i)}}$$

#### 15.1.5 Inclusion–Exclusion Principle

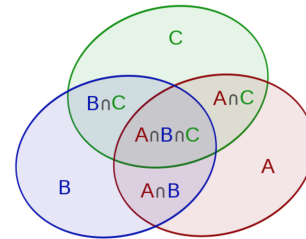


Fig. 15.1: Inclusion–exclusion principle

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

Generally,

$$\left| \bigcup_{i=1}^n A_i \right| = \sum_{k=1}^n (-1)^{k+1} \left( \sum_{1 \leq i_1 < \dots < i_k \leq n} |A_{i_1} \cap \dots \cap A_{i_k}| \right)$$

### 15.2 COMBINATIONS WITH DUPLICATED OBJECTS

Determine the number of combinations of 10 letters (order does not matter) that can be formed from 3A, 4B, 5C.

### 15.2.1 Basic Solution

If there are no restrictions on the number of any of the letter, it is  $\binom{10+2}{2}$ ; then we get the universal set,

$$|U| = \binom{10+2}{2}$$

Let  $P_A$  be the set that a 10-combination has more than 3A.  $P_B...4B$ .  $P_C...5C$ .

The result is:

$$|3A \cap 4B \cap 5C| = |U| - \text{sum}(|P_i|) + \text{sum}(|P_i \cap P_j|) - \text{sum}(|P_i \cap P_j \cap P_k|)$$

To calculate  $|P_i|$ , take  $|P_1|$  as an example. **Pre-set 4A** – if we take any one of these 10-combinations in  $P_1$  and remove 4A we are left with a 6-combination with unlimited on the numbers of letters; thus,

$$|P_1| = \binom{6+2}{2}$$

Similarly, we can get  $P_2, P_3$ .

To calculate  $|P_i \cap P_j|$ , take  $|P_1 \cap P_2|$  as an example. **Pre-set 4A and 5B**; thus,

$$|P_1 \cap P_2| = \binom{1+2}{2}$$

Similarly, we can get other  $|P_i \cap P_j|$ .

Similarly, we can get other  $|P_i \cap P_j \cap P_k|$ .

### 15.2.2 Algebra Solution

The number of 10-combinations that can be made from 3A, 4B, 5C is found from the coefficient of  $x^{10}$  in the expansion of:

$$(1+x+x^2+x^3)(1+x+x^2+x^3+x^4)(1+x+x^2+x^3+x^4+x^5)$$

And we know:

$$1+x+x^2+x^3 = (1-x^4)/(1-x)$$

$$1+x+x^2+x^3+x^4 = (1-x^5)/(1-x)$$

$$1+x+x^2+x^3+x^4+x^5 = (1-x^6)/(1-x)$$

We expand the formula, although the naive way of getting the coefficient of  $x^{10}$  is tedious.

# Chapter 16

## Bit Manipulation

### 16.1 CONCEPTS

#### 16.1.1 Basics

1. Bit value: bit0, bit1.
2. BitSet/Bits
3. Bit position (bit interchangeably)

#### 16.1.2 Operations

##### Mask.

1. Masking to 1: to mask a single bit position,  $bit \vee 1$
2. Masking to 0: to mask a single bit position,  $bit \wedge 0$
3. Querying a bit position value: to query a single bit position,  $bit \wedge 1$
4. Toggling bit values: to toggle a single bit position,  $bit \oplus 1$

This can be extended to do masking operations on multiple bits.

**Rightmost bit set.** To get the rightmost bit, with the help of 2's complement:

$$rightmost = bits \wedge -bits$$

### 16.2 SINGLE NUMBER

#### 16.2.1 Appear three times

Given an array of integers, every element appears three times except for one. Find that single one.

**Using list.** Consider 4-bit numbers:

0000  
0001  
0010  
...  
1111

Add (not  $\wedge$ ) the bit values **vertically**, then result would be  $abcd$  where  $a, b, c, d$  can be any number, not just binary.  $a, b, c, d$  can be divided by 3 if the all element appears three times. Until here, you can use a list to hold  $a, b, c, d$ . By mod 3, the single one that does not appear 3 times is found.

To generalize to 32-bit `int`, use a list of length 32.

**Using bits.** To further optimize the space, use bits (bit set) instead of list.

- Since all except one appears 3 times, we are only interested in 0, 1, 2 (mod 3) count of bit1 appearances in a bit position.
- We create 3 bit sets to represent 0, 1, 2 appearances of all positions of bits.
- For a bit, there is one and only one bit set containing bit1 in that bit position.
- Transition among the 3 bit sets for every number:

$$bitSet^{(i)} = (bitSet^{(i-1)} \wedge num) \vee (bitSet^{(i)} \wedge \neg num)$$

For  $i$  appearances, the first part is the bit set **transited from** ( $i - 1$ ) appearances, and the second part is the bit set **transited out** from itself.

#### 16.2.2 Two Numbers

Given an array of numbers `nums`, in which exactly two elements appear only once and all the other elements appear exactly twice. Find the two elements that appear only once.

- Easily get:  $x = a \oplus b$ .
- $a \neq b$ ; thus there are at least one 1-bit in  $x$  is different.
- Take an arbitrary 1 bit set in  $x$ , and such bit set can classify the elements in the array into two separate groups.

# **Chapter 17**

## **Greedy**

### **17.1 INTRODUCTION**

Queue, Stack

#### **17.1.1 Summarizing properties**

TODO

# Chapter 18

## String

### 18.1 PALINDROME

#### 18.1.1 Palindrome anagram

**Test palindrome anagram.** Char counter, number of odd count should  $\leq 0$ .

**Count palindrome anagram.** 15.1.4.

**Construct palindrome anagram.** clues:

1. dfs
2. jump parent char

Code:

```
def grow(self, s, count_map, pi, cur, ret):
    if len(cur) == len(s):
        ret.append(cur)
        return

    for k in count_map.keys():
        if k != pi and count_map[k] > 0:
            # jump the parent
            for i in xrange(1, count_map[k]/2+1):
                count_map[k] -= i*2
                self.grow(s, count_map, k, k*i+cur+k*i, ret)
                count_map[k] += i*2
```

### 18.2 KMP

Find string  $W$  in string  $S$  within complexity of  $O(|W| + |S|)$ .

#### 18.2.1 Prefix suffix table

Partial match table (also known as "failure function"). After a failure matching, you know that the matched suffix before the failure point is already matched; therefore when you shift the  $W$ , you only need to shift the prefix

```

          1         2
m: 01234567890123456789012
S: ABC ABCDAB ABCDABCDABDE
W:   ABCDABD
i:   0123456

          1         2
m: 01234567890123456789012
S: ABC ABCDAB ABCDABCDABDE
W:       ABCDABD
i:       0123456
```

Fig. 18.1: KMP example

<b>i</b>	0	1	2	3	4	5	6
<b>W[i]</b>	A	B	C	D	A	B	D
<b>T[i]</b>	-1	0	0	0	0	1	2

Fig. 18.2: Prefix-suffix table

onto the position of the previous suffix. The prefix and suffix must be proper prefix and suffix.

In table-building algorithm.  $T[i]$  stores the NEXT prefix index  $end$ .

Notice:

1. dummy at  $T[0] = -1$ .
2. three parts
  - a. matched
  - b. fall back
  - c. restart

Code:

```
# construct T
T = [0 for _ in xrange(ln+1)]
T[0] = -1
T[1] = 0
pos = 2
cnd = 0
while pos <= ln:
    if needle[pos-1]==needle[cnd]: # matched
        T[pos] = cnd+1
```

```

    cnd += 1
    pos += 1
elif T[cnd] != -1: # fall back
    cnd = T[cnd]
else: # restart
    T[pos] = 0
    cnd = 0
    pos += 1

```

## 18.2.2 Searching algorithm

Notice:

1. index  $i$  and  $s$ .
2.  $T[i+1-1]$  for corresponding previous index in  $T$  for current scanning index  $i$ .
3. three parts:
  - a. matched
  - b. fall back
  - c. restart

Code:

```

# search
i = 0 # index for needle
j = 0 # index for haystack
while j+i < len(haystack):
    if needle[i] == haystack[j+i]: # matched
        i += 1
        if i == len(needle):
            return haystack[j:]
    else:
        if T[i] != -1: # fall back
            j = j+i-T[i]
            i = T[i]
        else: # restart
            j += 1
            i = 0

return None

```

## 18.2.3 Applications

1. Find needle in haystack.
2. Shortest palindrome



# Chapter 19

## Graph

### 19.1 BASIC

**Graph Representation.**  $V$  for a vertex set with a map, mapping from vertex to its neighbors.

```
V = defaultdict(list)
```

### 19.2 BFS

#### 19.2.1 BFS with Abstract Level

Start BFS with a set of vertices in abstract level, not necessarily neighboring vertices.

Example:  $-1$  obstacles,  $0$  targets, calculate all other vertices's Manhattan distance to its nearest target:

$$\begin{bmatrix} \infty & -1 & 0 & \infty \\ \infty & \infty & \infty & -1 \\ \infty & -1 & \infty & -1 \\ 0 & -1 & \infty & \infty \end{bmatrix}$$

is calculated as:

$$\begin{bmatrix} 3 & -1 & 0 & 1 \\ 2 & 2 & 1 & -1 \\ 1 & -1 & 2 & -1 \\ 0 & -1 & 3 & 4 \end{bmatrix}$$

#### Code

```
def wallsAndGates(self, mat):
    q = [(i, j) for i, row in enumerate(mat)
         for j, val in enumerate(row) if val == 0]
    for i, j in q: # iterator
        for d in self.dirs:
            I, J = i+d[0], j+d[1]
            if (0 <= I < m and 0 <= J < n and
                mat[I][J] > mat[i][j]+1):
                mat[I][J] = mat[i][j]+1
                q.append((I, J))
```

### 19.3 DETECT ACYCLIC

1. **marked** is reset after a dfs.
2. **visited** should be maintained only in the end of the dfs.
3. For directed graph:
  - a. Should dfs for all neighbors except for vertices in **visited**, to avoid revisiting. For example, avoid revisiting A, B when start from C in the graph  $C \rightarrow A \rightarrow B$ .
  - b. Excluding predecessor **pi** is erroneous in the case of  $A \leftrightarrow B$
4. For undirected graph:
  - a. Should dfs for all neighbors except for the predecessor **pi**.
  - b. Excluding neighbors in **visited** is redundant.

#### 19.3.1 Directed Graph

```
def dfs(self, V, k, visited, pathset):
    if k in pathset:
        return False

    marked.add(k)
    for nbr in V[k]:
        if nbr not in visited:
            if not self.dfs(V, nbr, visited, pathset):
                return False

    marked.remove(k)
    pathset.add(k)
    return True
```

#### 19.3.2 Undirected Graph

```
def dfs(self, V, k, pi, visited, marked):
    if k in marked:
        return False

    marked.add(k)
    for neighbor in V[k]:
        if neighbor != pi:
```

```

    if not self.dfs(V, neighbor, k,
        visited, marked):
        return False

    marked.remove(k)
    visited.add(k)
    return True

```

## 19.4 TOPOLOGICAL SORTING

For a graph  $G = \{V, E\}$ ,  $A \rightarrow B$ , then  $A$  is before  $B$  in the ordered list.

### 19.4.1 Algorithm

General algorithm and notice.

dfs:

1. **Dfs neighbors first.** If the neighbors of current node is  $\neg$ visited, then dfs the neighbors
2. **Dfs current node.** After visiting all the neighbors, then visit the current node and push it to the result queue.
3. **Reverse.** Reverse the result queue.

Notice:

1. Need to **reverse** the result queue, since the neighbors (successors) are visited first.
2. Need to **detect cycle**; thus the dfs need to construct result queue and detect cycle simultaneously, by using two sets: *visited* and *marked*.

```

def topological_sort(self, V):
    visited = set()
    marked = set()
    ret = []

    for k in V.keys():
        if k not in visited:
            if not self.dfs(V, k, visited, marked, ret):
                return [] # contains cycle

    ret.reverse()
    return ret

def dfs(self, V, k, visited, marked, ret):
    if k in marked:
        return False

    marked.add(k)
    for neighbor in V[k]:
        if neighbor not in visited:
            if not self.dfs(V, neighbor, visited, marked, ret):
                return False

    marked.remove(k)
    visited.add(k)
    ret.append(k)
    return True

```

### 19.4.2 Applications

1. Course scheduling problem with pre-requisite.

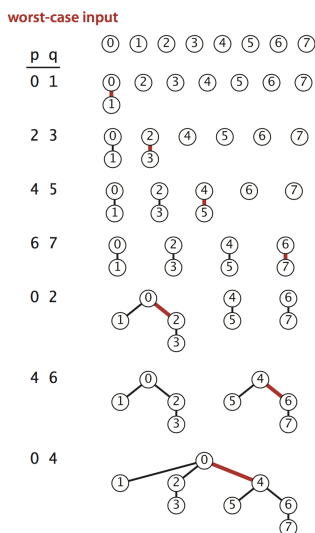


Fig. 19.1: Weighted quick-union traces

## 19.5 UNION-FIND

Improvements:

1. Weighting: size-balanced tree
2. Path Compression.

### 19.5.1 Algorithm

Weighted union-find with path compression:

1. An array to store each item's predecessor **pi**.
2. Merge the tree according to the **size** to maintain balance.

```

class UnionFind(object):
    """
    Weighted Union Find with path compression
    """
    def __init__(self):
        self.pi = {} # item -> pi
        self.sz = {} # root -> size

    def add(self, item):
        if item not in self.pi:
            self.pi[item] = item
            self.sz[item] = 1

    def union(self, a, b):
        pi1 = self.root(a)
        pi2 = self.root(b)

        if pi1 != pi2:
            if self.sz[pi1] > self.sz[pi2]:
                pi1, pi2 = pi2, pi1
                # size balancing

            self.pi[pi1] = pi2
            self.sz[pi2] += self.sz[pi1]
            del self.sz[pi1]

    def root(self, item):
        pi = self.pi[item]
        if item != pi:
            self.pi[item] = self.root(pi)
            # path compression

        return self.pi[item]

    def count(self):
        return len(self.sz) # only root nodes have size

```

## 19.5.2 Complexity

$m$  union-find with  $n$  objects:  $O(n) + mO(\lg n)$

## Chapter 20

### Interval

#### 20.1 INTRODUCTION

**Two-way range.** The current scanning node as the pivot, need to scan its left neighbors and right neighbors.

$$| \leftarrow p \rightarrow |$$

If the relationship between the pivot and its neighbors is symmetric, since scanning range is  $[i-k, i+k]$  and iterating from left to right, only consider  $[i-k, i]$  to avoid duplication.

$$| \leftarrow p$$

# Chapter 21

## Dynamic Programming

### 21.1 INTRODUCTION

The core philosophy of dp:

1. The definition of **states**
2. The definition of the **transition functions** among states

The so called concept dp as memoization of recursion does not grasp the core philosophy of dp.

The formula in the following section are unimportant. Instead, what is important is the definition of dp array and transition function derivation.

#### 21.1.1 Common practice

**Dummy.** Use dummies to avoid using if-else conditional branch.

1. Use  $n + 1$  dp arrays to reserve space for dummies.
2. Iteration range is  $[1, n + 1)$ .
3.  $n + k$  for  $k$  dummies

**State definition.** Two general sets of state definitions:

1. End AT index  $i$
2. End BEFORE index  $i$

**Space optimization.** To avoid MLE, we need to carry out space optimization. Let  $o$  be other subscripts,  $f$  be the transition function.

Firstly,

$$F_{i,o} = f(F_{i-1,o'})$$

should be reduced to

$$F_o = f(F_{o'})$$

Secondly,

$$F_{i,o} = f(F_{i-1,o'}, F_{i-2,o'})$$

should be reduced to

$$F_{i,o} = f(F_{(i-1)\%2,o'}, F_{(i-2)\%2,o'})$$

More generally, we can be  $(i - b)\%a$  to reduce the space down to  $a$ .

Notice:

1. Must iterate  $o$  **backward** to un-updated value.

### 21.2 SEQUENCE

**Longest common subsequence.** Let  $F_{i,j}$  be the LCS at string  $a[:i]$  and  $b[:j]$ . We have two situations:  $a[i] == b[j]$  or not.

$$F_{i,j} = \begin{cases} F_{i-1,j-1} + 1 // \text{if } a[i] == b[j] \\ \max(F_{i-1,j}, // \text{otherwise} \\ F_{i,j-1}) \end{cases}$$

**Longest common substring.** Let  $F_{i,j}$  be the LCS at string  $a[:i]$  and  $b[:j]$ . We have two situations:  $a[i] == b[j]$  or not.

$$F_{i,j} = \begin{cases} F_{i-1,j-1} + 1 // \text{if } a[i] == b[j] \\ 0 // \text{otherwise} \end{cases}$$

Because it is not necessary that  $F_{i,j} \geq F_{i',j'}, \forall i, j \cdot i > i', j > j'$ , the  $gmax = \max(\{F_{i,j}\})$ .

**Edit distance** Find the minimum number of steps required to convert words  $A$  to  $B$  using inserting, deleting, replacing.

Let  $F_{i,j}$  be the minimum number of steps required to convert  $A[:i]$  to  $B[:j]$ .

$$F_{i,j} = \begin{cases} F_{i-1,j-1} // \text{if } a[i] == b[j] \\ \min(F_{i,j-1} + 1, // \text{otherwise, insert} \\ F_{i-1,j} + 1, // \text{delete} \\ F_{i,j-1} + 1) // \text{replace} \end{cases}$$

**Maximal square.** Find the largest rectangle in the matrix:

```

1 0 1 0 0
1 0 1 1 1
1 1 1 1 1
1 0 0 1 0

```

Let  $F_{i,j}$  represents the max square's length ended at  $mat_{i,j}$  (lower right corner).

$$F_{i,j} = \begin{cases} \min(F_{i-1,j-1}, F_{i-1,j}, F_{i,j-1}) + 1 // \text{if } mat_{i,j} == 1 \\ 0 // \text{otherwise} \end{cases}$$

## 21.3 BACKPACK

Given  $n$  items with weight  $w_i$  and value  $v_i$ , an integer  $C$  denotes the size of a backpack. What is the max value you can fill this backpack?

Let  $F_{i,c}$  be the max value we can carry for index  $0..i$  with capacity  $c$ . We have 2 choices: take the  $i$ -th item or not.

$$F_{i,c} = \max \left( F_{i-1,c}, F_{i-1,c-w_i} + v_i \right)$$

TODO advanced backpack problem.

## 21.4 LOCAL AND GLOBAL EXTREMES

### 21.4.1 Long and short stocks

The following formula derives from the question: Best Time to Buy and Sell Stock IV.

Let  $local_{i,j}$  be the max profit at day  $i$  with  $j$  transactions with last transactions ENDED at day  $i$ . Let  $global_{i,j}$  be the max profit at day  $i$  with  $j$  transactions.

$$\begin{aligned} local_{i,j} &= \max \left( global_{i-1,j-1} + \Delta, local_{i-1,j} + \Delta \right) \\ global_{i,j} &= \max \left( local_{i,j}, global_{i-1,j} \right) \end{aligned} \quad (21.1)$$

Notice:

1. Consider opportunity costs.
2. The global min is not  $global[-1]$  but  $\max(\{global[i]\})$ .
3. You must sell the stock before you buy again (i.e. you can not have higher than 1 in stock position).

#### 21.4.1.1 Space optimization

$$\begin{aligned} local_j &= \max \left( global_{j-1} + \Delta, local_j + \Delta \right) \\ global_j &= \max \left( local_j, global_j \right) \end{aligned} \quad (21.2)$$

Notice,

1. Must iterate  $j$  **backward**; otherwise we will use the updated value.

### 21.4.1.2 Alternative definitions

Other possible definitions: let  $global_{i,j}$  be the max profit at day  $i$  with UP TO  $j$  transactions. Then,

$$\begin{aligned} local_{i,j} &= \max \left( global_{i-1,j-1} + \max(0, \Delta), local_{i-1,j} + \Delta \right) \\ global_{i,j} &= \max \left( local_{i,j}, global_{i-1,j} \right) \end{aligned} \quad (21.3)$$

and  $global[-1]$  is the global max.

## 21.5 GAME THEORY - MULTI PLAYERS

Assumption: the opponent take the optimal strategy for herself.

### 21.5.1 Coin game

**Same side** There are  $n$  coins with different value in a line. Two players take turns to take 1 or 2 coins from left side. The player who take the coins with the most value wins.

let  $F_i^p$  represents maximum values he can get for index  $i..last$ , for the person  $p$ . There are 2 choices: take the  $i$ -th coin or take the  $i$ -th and  $(i+1)$ -th coin.

$$\begin{aligned} F_i^p &= \max \left( A_i + S[i+1:] - F_{i+1}^{p'}, \right. \\ &\quad \left. A_i + A_{i+1} + S[i+2:] - F_{i+2}^{p'} \right) \end{aligned}$$

The above equation can be further optimized by merging the sum  $S$ .

**Dual sides** There are  $n$  coins in a line. Two players take turns to take a coin from one of the ends of the line until there are no more coins left. The player with the larger amount of money wins.

let  $F_{i,j}^p$  represents maximum values he can get for index  $i..j$ , for the person  $p$ . There are 2 choices: take the  $i$ -th coin or take the  $j$ -th coin.

$$\begin{aligned} F_{i,j}^p &= \max \left( A_i + S[i+1:j] - F_{i+1,j}^{p'}, \right. \\ &\quad \left. A_j + S[i:j-1] - F_{i,j-1}^{p'} \right) \end{aligned}$$

## Chapter 22

### General

#### 22.1 GENERAL TIPS

**Information Source.** Keep the source information rather than derived information (e.g. keep the array index rather than array element).

**Information Transformation.** Need you keep the raw information to avoid information loss (e.g. after converting `str` to `list`, you should keep `str`).

**Element Data Structure** When working with ADT, you should use a more intelligence data structure as type to avoid allocating another ADT to maintain the state (e.g. `java.util.PriorityQueue<E>`).





# Glossary

**A** Array

**idx** Index

**TLE** Time Limit Exceeded

**MLE** Memory Limit Exceeded

**dp** Dynamic programming

**in-place** The algorithm takes  $\leq c \lg N$  extra space

**partially sorted** number of inversion in the array  $\leq cN$

**non-degeneracy** Distinct properties without total overlapping

**underflow** Degenerated, empty, or null case

**loitering** Holding a reference to an object when it is no longer needed thus hindering garbage collection.